

UNITED STAPES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-------------------------|-----------------------------|----------------------|------------------------|------------------|
| 10/087,207 | 02/28/2002 | Patrick Jay Lutz | 5408/11295-US2 | 7037 |
| 7278 DARBY & DA | 7590 02/02/2007 RBY P.C. | EXAMINER . | | |
| P. O. BOX 5257 | | | PRYOR, ALTON NATHANIEL | |
| NEW YORK, NY 10150-5257 | | | ART UNIT | PAPER NUMBER |
| | | | 1616 | |
| | | | | |
| SHORTENED STATUTORY | Y PERIOD OF RESPONSE | MAIL DATE | DELIVERY MODE | |
| 3 MONTHS | | 02/02/2007 | PAPER | |

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

| · | Application No. | Applicant(s) | | | | |
|--|--|--|--|--|--|--|
| | 10/087,207 | LUTZ, PATRICK JAY | | | | |
| Office Action Summary | Examiner | Art Unit | | | | |
| | Alton N. Pryor | 1616 | | | | |
| The MAILING DATE of this communication app Period for Reply | ears on the cover sheet with the c | orrespondence address | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tirr iill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE | N. sely filed the mailing date of this communication. D (35 U.S.C. § 133). | | | | |
| Status , | | | | | | |
| 1) Responsive to communication(s) filed on 06 Oc | ctober 2006. | | | | | |
| | action is non-final. | | | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | | | |
| closed in accordance with the practice under E | , | | | | | |
| Disposition of Claims | | | | | | |
| 4) Claim(s) <u>47-56 and 89</u> is/are pending in the app | olication. | | | | | |
| 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | | |
| 5) Claim(s) is/are allowed. | | · | | | | |
| 6) Claim(s) <u>47-56 and 89</u> is/are rejected. | | | | | | |
| 7) Claim(s) is/are objected to. | | | | | | |
| 8) Claim(s) are subject to restriction and/or | election requirement. | · | | | | |
| , | 4 | | | | | |
| Application Papers | | | | | | |
| 9)☐ The specification is objected to by the Examiner. | | | | | | |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner. | | | | | | |
| Applicant may not request that any objection to the o | drawing(s) be held in abeyance. See | e 37 CFR 1.85(a). | | | | |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). | | | | | | |
| 11) The oath or declaration is objected to by the Ex | aminer. Note the attached Office | Action or form PTO-152. | | | | |
| Priority under 35 U.S.C. § 119 | | | | | | |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: | | | | | | |
| 1. Certified copies of the priority documents have been received. | | | | | | |
| 2. Certified copies of the priority documents have been received in Application No | | | | | | |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage | | | | | | |
| application from the International Bureau (PCT Rule 17.2(a)). | | | | | | |
| * See the attached detailed Office action for a list of the certified copies not received. | | | | | | |
| | | | | | | |
| | | | | | | |
| Attachment(s) | ,. - | (770) | | | | |
| Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) | 4) L Interview Summary Paper No(s)/Mail Da | | | | | |
| 3) Information Disclosure Statement(s) (PTO/SB/08) 5) Notice of Informal Patent Application | | | | | | |
| Paper No(s)/Mail Date | 6) | | | | | |

Application/Control Number: 10/087,207 Page 2

Art Unit: 1616

DETAILED ACTION

Applicant's arguments filed 10/06/06 have been fully considered but they are not persuasive. See argument below.

Rejection of claims 51-56 under 35 USC 112, 1st paragraph will be maintained in light of T. amendment filed 10/06/06 for reason on record and reason as follows. Claims 47-50 and 89 are added to this rejection. Applicant argues that USPN 5753600 discloses a broad range of benzethonium salts can be used as antibacterial agents and for this reason the rejection of record should be withdrawn. Examiner agrees that USPN '600 list numerous benzethonium salts as antibacterial agents. However, Examiner would like to point out the claims in instant invention are to synergistic combinations comprising a benzethonium salt and dehydroacetic acid and salts thereof. On the other hand, Applicant only shows synergism for a composition comprising benzethonium chloride and dehydroacetate, which are specific species in the benzethonium salt and dehydroacetic acid salt genus, respectively. Applicant's specification is not enabled for all species in the benzethonium salt and dehydroacetic acid salt genus based on a showing for composition comprising only a single species from each genus. The reason being that species within each genus differ in size, functionality, polarity as well as other chemical and physical properties all of which will affect a compound's activity. If fact, certain chemical functionalities of the claimed genus may yield antagonistic activity. Therefore absent a showing of a number of spices in the genus covering a broad range of functionality and size, the 112 rejection of record is maintained. Applicant specification is enabled for a synergistic mixture comprising benzethonium chloride and dehydro acetate based on examples provided in the specification. Applicant specification is not enabled

Art Unit: 1616

for all benzethonium salts combined with dehydroacetic acid and all salts thereof since applicant only provides synergistic results for benzethonium chloride as the benzethonium salt and dehydroacetic acetate as the dehydroacetic acid salt. Note no examples of synergism have been provided for dehydroacetic acid.

Telephonic Inquiry

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alton N. Pryor whose telephone number is 571-272-0621. The examiner can normally be reached on 8:00 a.m. - 4:30 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Johann Richter can be reached on 571-272-0646. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Alton Pryor

Primary Examiner

Au 1616